STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF: ALAN RICHARD LEW

FILE NO. 1100096

ORDER OF DISMISSAL

TO RESPONDENT:

Alan Richard Lew (Crd#: 2217412)

120 Weston Ln

Mundelein, IL 60060

C/O Ameriprise Financial Services, INC.

Attn: Director of Compliance

5221 Ameriprise Financial Center

Minneaplois, MN 55474

TO THE HEARING OFFICER:

Soula J. Spyropoulos

4125 West Lunt Street

Lincolnwood, Illinois 60712

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue:

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on March 16, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on March 16, 2011 against the Respondent Alan Richard Lew in this matter is dismissed without prejudice.

Dated: This 13th day of May 2011.

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Secretary of State

Maria A. Pavone Enforcement Attorney Illinois Securities Department Office of Secretary of State 69 West Washington St.- Suite 1220 Chicago, IL 60602 Telephone:312.793.3022